

Resources	
Audit Area	Risk Assessment Score
Financial Services	
Education Improvement Grant	S
Pupil Deprivation Grant	S
Budgetary Control - Monitoring	F - CRSA
Treasury Management - Bank Reconciliation	F
Creditors - Reconciliation	F
Creditors - Central	F - CRSA
Revenue Services	
Debtors System	F - CRSA
Social Services Debtors	F - CRSA
NNDR Data Matching grants	NA - emerging risk
NNDR	F - CRSA
Council Tax	F - CRSA
Debtors Reconciliation	F
Audit, Insurance & Risk Management	
Insurance (b/f 2019/20)	4.25

Commercial	
Audit Area	Risk Assessment Score
Communications	
Customer Services	
Housing Benefits	F - CRSA
Cashiers	F
Digital & IT	
User Access	F - Thematic
Procurement	
Purchasing	F
BRAVO F/UP	Follow up
Strategic Transformation	
Workforce Management	
Payroll	F - CRSA
Stand By Payments (b/f 2019/20)	3.125

Legal and Corporate Compliance	
Audit Area	Risk Assessment Score
Commercial, Property & Information Governance	
RIPA	3.45
Corporate Services & Registration	
Local Land Charges	3.17

Governance and Partnerships	
Audit Area	Risk Assessment Score
Performance & Democratic	
Business Planning Improvement & Data (b/f 2019/20)	2.86
Future Generation Act - Individual Duty	2.55
Partnership and Policy	
BREXIT	3.04
Welsh policy (b/f 2019/20)	4.01

Regeneration and Community Services	
Audit Area	Risk Assessment Score
Community Services	
Frontline Integrated Services	
Highways Main F/UP	Follow up
Street Lighting	4.66
Waste Disposal	4.56
Property	
Corporate Landlord - Property Maintenance	3.075
Public Protection	
Enable Grant	S
Homelessness Grant	S
Rent Smart Wales	S
Trading Standards - Inspection, Enforcement and Advice	3.025
Regeneration	
Regeneration & Thriving Communities	
General Offices (b/f 2019/20)	2.52

Education	
Audit Area	Risk Assessment Score
Education Transformation	
Schools CRSA	S - CRSA
School Systems	S
Pen Y Cwm /parents school fund	N/A - emerging risk
Youth Services	
Youth Support Grant	S
Inclusion Services	
Education Welfare	2.145

Social Services	
Audit Area	Risk Assessment Score
Adult Services	
Development & Commissioning	
Supporting People Programme (Outcomes) Grant	S
Supporting People Grant (RDC Post)	S
Supporting People Grant	S
Commissioning (b/f 2019/20)	4.7
Long Term Care	
Community Care (West) (b/f 2019/20)	2.35
Community Care (East) (b/f 2019/20)	2.35
Childrens' Services	
Flying Start, Early Years & Play	
Flying Start	2.185

General	
Audit Area	Risk Assessment Score
Performance Management	
Performance Management	S
Audit Plan	S
Performance Reviews	S
AGS	S
Other	
Ad Hoc/Contingency/Follow Ups	S
Office Duties(incl. Cont. Stat & Auth Sigs)	S
Meetings	S
Audit Committee	S
Special Projects / Investigations	S
GDPR	S
General Audit Areas	
HR Policies	S
Financial Procedure Rules	S
Contract Procedure Rules	S
Departmental Internet / Email Use	S
Anti Money Laundering Policy	S
Anti Bribery, Fraud & Corruption Policy	S
Departmental Flexi System Use (b/f 2019/20)	S
Thematic review Recruitment & Retention	Thematic review
Contract Audit - > £75k	S
Contract Audit - <£75k	S
Register of interest check	TR/Compliance Audit